Closing all loopholes in quantum devices

Connor Behan

February 25, 2015

The problem





The problem



Any classical communication between them can be intercepted by an intruder with the right type of wire or antenna.



The problem



Any classical communication between them can be intercepted by an intruder with the right type of wire or antenna.



	Plain	Encrypted		
Classical	Trivially insecure	Secure if the pair al-		
		ready knows a secret		
		key		
Quantum	Self-destructs upon	Desired solution		
	measurement if the			
	data is randomized			

Message: Key: Result: Message: Key:

Result:

• Bob reconstructs Alice's message with the same operation.

Message: Key: Result:

- Bob reconstructs Alice's message with the same operation.
- This "one time pad" is perfect the first time, vulnerable to pattern recognition after.

Message: Key:

Result:

$\begin{array}{c} 100110101010101110010\\ 010110110001101011001\\ 110000011011000101011\end{array}$

- Bob reconstructs Alice's message with the same operation.
- This "one time pad" is perfect the first time, vulnerable to pattern recognition after.
- Quantum key distribution is the problem that must be solved.

Message: Key:

Result:

$\begin{array}{c} 100110101010101110010\\ 010110110001101011001\\ 110000011011000101011\end{array}$

- Bob reconstructs Alice's message with the same operation.
- This "one time pad" is perfect the first time, vulnerable to pattern recognition after.
- Quantum key distribution is the problem that must be solved.



[J Cryptol 5, 2-38, 1992]









Developed by Bennett and Brassard in 1984.

Eve



- 1. Alice encodes with a random basis.
- 2. Bob measures with a random basis.
- 3. After all transfers, Alice and Bob publically reveal basis choices.
- 4. When choices agree, they check agreement on a subset of data.
- 5. Data not revealed becomes the key.

Eve chooses a different basis $\frac{1}{2}$ of the time. Each time she causes disagreement with probability $\frac{1}{2}$.

Eve chooses a different basis $\frac{1}{2}$ of the time. Each time she causes disagreement with probability $\frac{1}{2}$. This has been used to safeguard the 2007 Swiss election.



Eve chooses a different basis $\frac{1}{2}$ of the time. Each time she causes disagreement with probability $\frac{1}{2}$. This has been used to safeguard the 2007 Swiss election.



Imperfect devices have allowed their QKD systems to be defeated.

Eve chooses a different basis $\frac{1}{2}$ of the time. Each time she causes disagreement with probability $\frac{1}{2}$. This has been used to safeguard the 2007 Swiss election.



Imperfect devices have allowed their QKD systems to be defeated.

Eve chooses a different basis $\frac{1}{2}$ of the time. Each time she causes disagreement with probability $\frac{1}{2}$. This has been used to safeguard the 2007 Swiss election.



Imperfect devices have allowed their QKD systems to be defeated.

When two photons accidentally arrive, Eve can pass one along, store the other and wait to learn the right basis [PhysRevA **51**, 1863–1869, 1995].





Above the breakdown, detectors are very sensitive and click for single photons.

Otherwise they respond linearly and only click for light above power P_0 .



Above the breakdown, detectors are very sensitive and click for single photons.

Otherwise they respond linearly and only click for light above power P_0 . If Bob's APD is ever in linear mode (*e.g.* the quench after Alice's photon), Eve can use blinding to keep it this way.

- 1. Eve chooses bases and measures bits as they come.
- 2. She retransmits a beam just above P_0 to Bob when his detector is linear.
- 3. If their bases agree, Bob sees the same as Eve. Otherwise he thinks event was dropped.
- 4. Eve has whatever bits Alice tells Bob to keep.
- 5. No intrusion is detected because checking is only done when Alice, Bob and Eve share a basis.

- 1. Eve chooses bases and measures bits as they come.
- 2. She retransmits a beam just above P_0 to Bob when his detector is linear.
- 3. If their bases agree, Bob sees the same as Eve. Otherwise he thinks event was dropped.
- 4. Eve has whatever bits Alice tells Bob to keep.
- 5. No intrusion is detected because checking is only done when Alice, Bob and Eve share a basis.

Protocols immune to weaknesses in the device are possible with device independent QKD.

- 1. Eve chooses bases and measures bits as they come.
- 2. She retransmits a beam just above P_0 to Bob when his detector is linear.
- 3. If their bases agree, Bob sees the same as Eve. Otherwise he thinks event was dropped.
- 4. Eve has whatever bits Alice tells Bob to keep.
- 5. No intrusion is detected because checking is only done when Alice, Bob and Eve share a basis.

Protocols immune to weaknesses in the device are possible with device independent QKD.

Vazirani and Vidick have developed the most robust one to date [PRL **113**, 140501, 2014].









 $ightarrow |\psi
angle = rac{1}{\sqrt{2}}\left(|00
angle + |11
angle
ight) \leftarrow$





 $ightarrow |\psi
angle = rac{1}{\sqrt{2}}\left(|00
angle + |11
angle
ight) \leftarrow$







 $ightarrow \ket{\psi} = rac{1}{\sqrt{2}} \left(\ket{00} + \ket{11}
ight) \leftarrow$





Alice uses input $x_i \in \{0, 1\}$ to decide on basis for output a_i .

Bob uses input $y_i \in \{0, 1\}$ to decide on basis for output b_i .

If outputs saturate the Bell inequality, nothing else can be entangled with the system [PRL **67**, 661–663, 1991].



For (x, y) = (0, 0), if Alice gets a 0, project onto $\cos\left(\frac{\pi}{8}\right)\langle 0| + \sin\left(\frac{\pi}{8}\right)\langle 1|$.

For (x, y) = (0, 0), if Alice gets a 0, project onto $\cos\left(\frac{\pi}{8}\right)\langle 0| + \sin\left(\frac{\pi}{8}\right)\langle 1|$.

$$P(\text{same}) = \cos^2\left(\frac{\pi}{8}\right)$$

For (x, y) = (0, 0), if Alice gets a 0, project onto $\cos\left(\frac{\pi}{8}\right)\langle 0| + \sin\left(\frac{\pi}{8}\right)\langle 1|$.

$$P(\text{same}) = \cos^2\left(\frac{\pi}{8}\right)$$

For (x, y) = (0, 1), if Alice gets a 0, project onto $\cos\left(\frac{3\pi}{8}\right)\langle 0| + \sin\left(\frac{3\pi}{8}\right)\langle 1|$.

$$P(\text{different}) = 1 - \cos^2\left(\frac{3\pi}{8}\right)$$

For (x, y) = (0, 0), if Alice gets a 0, project onto $\cos\left(\frac{\pi}{8}\right)\langle 0| + \sin\left(\frac{\pi}{8}\right)\langle 1|$.

$$P(\text{same}) = \cos^2\left(\frac{\pi}{8}\right)$$

For (x, y) = (0, 1), if Alice gets a 0, project onto $\cos\left(\frac{3\pi}{8}\right)\langle 0| + \sin\left(\frac{3\pi}{8}\right)\langle 1|$.

$$P(\text{different}) = 1 - \cos^2\left(\frac{3\pi}{8}\right)$$

In a fraction of "Bell rounds" B, Alice and Bob should check if $a_i \oplus b_i = x_i \wedge y_i$ is satisfied $\cos^2(\frac{\pi}{8})$ of the time.

For (x, y) = (0, 0), if Alice gets a 0, project onto $\cos\left(\frac{\pi}{8}\right)\langle 0| + \sin\left(\frac{\pi}{8}\right)\langle 1|$.

$$P(\text{same}) = \cos^2\left(\frac{\pi}{8}\right)$$

For (x, y) = (0, 1), if Alice gets a 0, project onto $\cos\left(\frac{3\pi}{8}\right)\langle 0| + \sin\left(\frac{3\pi}{8}\right)\langle 1|$.

$$P(\text{different}) = 1 - \cos^2\left(\frac{3\pi}{8}\right)$$

In a fraction of "Bell rounds" B, Alice and Bob should check if $a_i \oplus b_i = x_i \wedge y_i$ is satisfied $\cos^2\left(\frac{\pi}{8}\right)$ of the time. To form a key, "Check rounds" C have Alice use the $\frac{3\pi}{8}$ basis.

For (x, y) = (0, 0), if Alice gets a 0, project onto $\cos\left(\frac{\pi}{8}\right)\langle 0| + \sin\left(\frac{\pi}{8}\right)\langle 1|$.

$$P(\text{same}) = \cos^2\left(\frac{\pi}{8}\right)$$

For (x, y) = (0, 1), if Alice gets a 0, project onto $\cos\left(\frac{3\pi}{8}\right)\langle 0| + \sin\left(\frac{3\pi}{8}\right)\langle 1|$.

$$P(\text{different}) = 1 - \cos^2\left(rac{3\pi}{8}
ight)$$

In a fraction of "Bell rounds" B, Alice and Bob should check if $a_i \oplus b_i = x_i \wedge y_i$ is satisfied $\cos^2\left(\frac{\pi}{8}\right)$ of the time. To form a key, "Check rounds" C have Alice use the $\frac{3\pi}{8}$ basis.

$$\begin{split} \left| \left| \rho_{\mathsf{K}\mathsf{E}} - \rho_{\mathsf{K}} \otimes \rho_{\mathsf{E}} \right| \right| < \epsilon \\ \rho_{\mathsf{K}} = \mathsf{diag}\left(\frac{1}{2^{|\mathsf{K}|}}, \dots, \frac{1}{2^{|\mathsf{K}|}} \right) \end{split}$$

If they notice a Bell discrepancy, Alice and Bob must shorten their key to reduce Eve's knowledge.

If they notice a Bell discrepancy, Alice and Bob must shorten their key to reduce Eve's knowledge. A non-uniform

$$X \in \{0,1\}^p, \qquad P(X = X') \le \frac{1}{2^q}$$

has $H_{min}(X) = q$.

If they notice a Bell discrepancy, Alice and Bob must shorten their key to reduce Eve's knowledge. A non-uniform

$$X \in \{0,1\}^p, \qquad P(X = X') \le \frac{1}{2^q}$$

has $H_{min}(X) = q$. A hash function acts as $f : \{0,1\}^p \to \{0,1\}^r$.

If they notice a Bell discrepancy, Alice and Bob must shorten their key to reduce Eve's knowledge. A non-uniform

$$X \in \{0,1\}^p, \qquad P(X = X') \le \frac{1}{2^q}$$

has $H_{min}(X) = q$. A hash function acts as $f : \{0,1\}^p \to \{0,1\}^r$. A family of 2^s such functions is parwise-universal if for all $x \neq x'$, at most $\frac{1}{2^r}$ of the functions satisfy f(x) = f(x').

If they notice a Bell discrepancy, Alice and Bob must shorten their key to reduce Eve's knowledge. A non-uniform

$$X \in \{0,1\}^p, \qquad P(X = X') \le \frac{1}{2^q}$$

has $H_{min}(X) = q$. A hash function acts as $f : \{0,1\}^p \to \{0,1\}^r$. A family of 2^s such functions is parwise-universal if for all $x \neq x'$, at most $\frac{1}{2^r}$ of the functions satisfy f(x) = f(x'). Example:

x	1	2		2 ^r	$2^{r} + 1$		2^{r+1}
$f_1(x)$	1	2		2 ^r	1		2 ^r
$f_2(x)$	2 ^r	1		$2^{r} - 1$	1		2 ^r
÷	:	÷	·	÷	÷	·	÷
$f_{2^r}(x)$	2	3		1	1		2 ^r

Leftover Hash Lemma

Supposed we have 2^s pairwise universal hash-functions that output r bit strings. If $r \leq H_{min}(X) - 2\log_2\left(\frac{1}{\epsilon}\right)$ and the functions are chosen uniformly, (F, F(X)) is ϵ away from the uniform distribution on r + s bits.

Leftover Hash Lemma

Supposed we have 2^s pairwise universal hash-functions that output r bit strings. If $r \leq H_{min}(X) - 2\log_2(\frac{1}{\epsilon})$ and the functions are chosen uniformly, (F, F(X)) is ϵ away from the uniform distribution on r + s bits.

Proof.

$$P((F, F(X)) = (F', F'(X'))) = P(F = F')P(F(X) = F(X'))$$

= $P(F = F') \left[P(X = X') + \frac{1}{2^r} \right]$
 $\leq \frac{1}{2^s} \left[\frac{1}{2^q} + \frac{1}{2^r} \right]$
= $\frac{1}{2^{r+s}} \left[\frac{1}{2^{q-r}} + 1 \right]$
 $\leq \frac{1}{2^{r+s}} \left[\epsilon^2 + 1 \right]$

Alice and Bob pick and communicate a hash function after the measurements but they might apply it to different keys.

Alice and Bob pick and communicate a hash function after the measurements but they might apply it to different keys.

- 1. Alice sends Bob an I bit hash of her key X.
- 2. Bob sees if his key Y hashes to the same value.
- 3. If not, he modifies it to some \hat{X} in the support of the Y marginal distribution.

Alice and Bob pick and communicate a hash function after the measurements but they might apply it to different keys.

- 1. Alice sends Bob an I bit hash of her key X.
- 2. Bob sees if his key Y hashes to the same value.
- 3. If not, he modifies it to some \hat{X} in the support of the Y marginal distribution.

$$P(\hat{X} \neq X) = |supp(Y)| P(F(\hat{X}) = F(X))$$

= $|supp(Y)| \frac{1}{2^{l}}$
 $\leq \epsilon$

Alice and Bob pick and communicate a hash function after the measurements but they might apply it to different keys.

- 1. Alice sends Bob an I bit hash of her key X.
- 2. Bob sees if his key Y hashes to the same value.
- 3. If not, he modifies it to some \hat{X} in the support of the Y marginal distribution.

$$P(\hat{X} \neq X) = |supp(Y)| P(F(\hat{X}) = F(X))$$

= $|supp(Y)| \frac{1}{2^{l}}$
 $\leq \epsilon$

This says that $I = H_{max}(Y) + \log_2(\frac{1}{\epsilon})$.

Alice and Bob pick and communicate a hash function after the measurements but they might apply it to different keys.

- 1. Alice sends Bob an I bit hash of her key X.
- 2. Bob sees if his key Y hashes to the same value.
- 3. If not, he modifies it to some \hat{X} in the support of the Y marginal distribution.

$$P(\hat{X} \neq X) = |supp(Y)| P(F(\hat{X}) = F(X))$$

= $|supp(Y)| \frac{1}{2^{l}}$
 $\leq \epsilon$

This says that $I = H_{max}(Y) + \log_2(\frac{1}{\epsilon})$. Think of the UNIX program md5sum.





The noise η is defined as the difference between the Bell success probability and $\cos^2\left(\frac{\pi}{8}\right)$.





$$|\mathcal{K}| = H^{\epsilon}_{min}(B_C|E) - I - O\left(\log_2\left(\frac{1}{\epsilon}\right)\right)$$
 | PA



$$\begin{aligned} |K| &= H_{\min}^{\epsilon}(B_{C}|E) - I - O\left(\log_{2}\left(\frac{1}{\epsilon}\right)\right) \\ |K| &\geq H_{\min}^{\epsilon}(B_{C}|E) - H_{\max}^{\epsilon}(B_{C}|A_{C}) - O\left(\log_{2}\left(\frac{1}{\epsilon}\right)\right) \end{aligned} | \begin{array}{c} \mathsf{PA} \\ \mathsf{IR} \end{aligned}$$



$$\begin{aligned} |\mathcal{K}| &= H_{\min}^{\epsilon}(B_{C}|E) - I - O\left(\log_{2}\left(\frac{1}{\epsilon}\right)\right) \\ |\mathcal{K}| &\geq H_{\min}^{\epsilon}(B_{C}|E) - H_{\max}^{\epsilon}(B_{C}|A_{C}) - O\left(\log_{2}\left(\frac{1}{\epsilon}\right)\right) \\ |\mathcal{K}| &\geq H_{\min}^{\epsilon}(B_{C}|E) - H\left(\frac{11}{10}\eta\right)|C| - O\left(\log_{2}\left(\frac{1}{\epsilon}\right)\right) \end{aligned} \qquad \begin{array}{l} \mathsf{PA} \\ \mathsf{IR} \\ \mathsf{Noise estimate} \end{aligned}$$



$$\begin{split} |K| &= H_{\min}^{\epsilon}(B_{C}|E) - I - O\left(\log_{2}\left(\frac{1}{\epsilon}\right)\right) \\ |K| &\geq H_{\min}^{\epsilon}(B_{C}|E) - H_{\max}^{\epsilon}(B_{C}|A_{C}) - O\left(\log_{2}\left(\frac{1}{\epsilon}\right)\right) \\ |K| &\geq H_{\min}^{\epsilon}(B_{C}|E) - H\left(\frac{11}{10}\eta\right)|C| - O\left(\log_{2}\left(\frac{1}{\epsilon}\right)\right) \\ |K| &\geq \kappa(\eta)|C| - H\left(\frac{11}{10}\eta\right)|C| - O\left(\log_{2}\left(\frac{1}{\epsilon}\right)\right) \\ \end{split}$$
 Rest of the paper



$$\begin{split} |K| &= H_{min}^{\epsilon} (B_C | E) - I - O\left(\log_2\left(\frac{1}{\epsilon}\right)\right) & |PA| \\ |K| &\geq H_{min}^{\epsilon} (B_C | E) - H_{max}^{\epsilon} (B_C | A_C) - O\left(\log_2\left(\frac{1}{\epsilon}\right)\right) & |R| \\ |K| &\geq H_{min}^{\epsilon} (B_C | E) - H\left(\frac{11}{10}\eta\right) |C| - O\left(\log_2\left(\frac{1}{\epsilon}\right)\right) & |R| \\ |K| &\geq \kappa(\eta) |C| - H\left(\frac{11}{10}\eta\right) |C| - O\left(\log_2\left(\frac{1}{\epsilon}\right)\right) & |R| \\ |K| &\geq \left[\kappa(\eta) - H\left(\frac{11}{10}\eta\right) - O\left(\frac{1}{m}\log_2\left(\frac{1}{\epsilon}\right)\right)\right] |C| & |C| \\ \end{bmatrix}$$





"A pair of entangled photons is like a pair of hippies who are spiritually in tune with one another but not voicing coherent opinions about anything."

-Charles Bennett